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AMRAPALI UNIVERSITY MODIFIED RESEARCH ORDINANCE–2024

Amrapali University is a premier institution dedicated to academic excellence, research and innovation. The foundation of Amrapali University was laid in the year 1999 under the aegis of Modern Academy Education Society as Amrapali Group of Institutes. Established in 2024, vide Uttarakhand Government Notification No. 244/XXIV–C–3/2024–13(07)2021(Comp. No. 26934) dated 5th March 2024, the University has grown into a hub of knowledge, fostering intellectual curiosity and holistic development among students. Amrapali University's innovative spirit and entrepreneurial perspective encourage students, staff and faculty to challenge convention, lead discovery and explore new ways of learning. With a legacy of excellence and a future driven approach, Amrapali University continues to sharpe young minds and contribute to nation building.

Amrapali University is comprised of the Faculties of Commerce and Business Management, Education, Hospitality Management, Pharmacy and Technology & Computer Applications. Most of teaching departments under these faculties have facilities for carrying out research in different fields of interest. The admissions to Ph.D. programme shall be made directly and through the Entrance Test to be conducted by the University.

DOCTOR OF PHILOSOPHY (Ph.D.)

1. Eligibility Criteria for Admissions to Ph.D. Programme

- (a) A candidate for Ph.D. degree entrance test must have a Master's Degree of any University incorporated by the law in force and recognized by the UGC or a professional degree declared equivalent to Master's degree by a corresponding statutory regulatory body, provided also that the candidate:
 - (i) who has completed a 1-year/2-semester master's degree programme after a 4 - year/8 - semester bachelor's degree programme or a 2- year/4 – semester master's degree programme after a 3-year bachelor's degree programme or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed, is eligible for Ph.D. entrance test.
 - (ii) has qualified UGC – NET (including JRF), UGC-CSIR NET (including JRF/SLET/GATE or have passed M.Phil. programme, and
- (b) Candidates who have completed a 4-year/8-semester bachelor's degree and are seeking admission in the Ph.D. programme should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed.
- (c) A relaxation of 5% of marks from 55% to 50%, or an equivalent relaxation of grad for those belonging to SC/ST/OBC (non-creamy layer)/Differently–Abled, Economically Weaker Section (EWS) and other categories of candidates

shall be applicable as per the decision of the University Grants Commission from time to time.

- (d) A candidate shall ordinarily be permitted to work for the Ph.D. degree in the subject in which he/she has obtained Master's Degree, but also that research work leading to Ph.D. degree may be allowed in allied subjects in the same or any other Faculty, if the Research Degree Council (RDC) concerned is satisfied that the candidate possesses the requisite qualifications to take up the proposed research work. Allied subjects for the above stated purpose should have been approved by the Academic Council of the University.

2. Admission Procedure

The University shall decide on an annual basis through its academic bodies a predetermined and manageable number of Ph.D. scholars to be admitted depending on available eligible research supervisors and research facilities keeping in mind the norms regarding the scholar-teacher ratio, laboratory, library and such other facilities.

- (a) Amrapali University shall admit Ph.D. students through an Entrance Examination and subsequent interview conducted by the University.
- (b) Entrance Examination may be exempted for candidates with NET/GATE/GPAT/SLET accredited by UGC and shall be considered for direct admission to the Ph.D. programme but they will have to fill up the application form. They would be required to appear and qualify in Interview.
- (c) The number of seats for admission in Ph.D. programme in each discipline and all other relevant information for the candidates will be notified in advance in University's website and Information Brochure.
- (d) The admission will be based on the criteria notified by the UGC and other statutory bodies concerned and adhere to the National / State level reservation policy, as applicable.
- (e) Sixty percent of the total vacant seats of the academic year shall be filled from NET / JRF qualified students. The remaining forty percent would be filled from those who have qualified the University Common Entrance Test. Such students would be required to appear for an interview before the Departmental Research Council (DRC) to present their proposal regarding the interest area of their research. The cumulative weightage of entrance test and interview will be the basis of merit determination for selection.

The admission merit will be in the order of (i) NET/JRF, (ii) Direct Entrance Test.

- (f) However, in case of an unfilled vacancy in either of the category, candidates from another categories can be requisitioned to fill the vacant seats in order of merit / reservation norms.

- (g) The syllabus of the entrance test shall consist of questions on research / analytical / objective / multiple choice type / comprehension / quantitative aptitude / teaching aptitude etc. The entrance test shall be conducted at Amrapali University.
- (h) Qualifying percentage of marks in the entrance test will as under :
 - (i) General category – 50%, OBC (non – creamy layer) / SC/ST/Differently abled/EWS – 45%.
 - (ii) A weightage of 70% for the entrance test and 30% for the performance in the interview/ viva-voce shall be given.
- (i) The University will maintain the list of all the registered Ph.D. scholars on its website on a year-wise basis. The list may include the name of the registered candidates, the topic of their research, the name of their Supervisor / Co-supervisor, date of enrolment/registration etc.
- (j) No scrutiny / revaluation of answer sheet of the written test will be permitted.
- (k) Reservation policy of the State of Uttarakhand shall be followed.
- (l) The Office of the Research & Development at the University shall execute and oversee the entire admission process.

3. Ph.D. through Part Time Mode

Ph.D. programme through part-time mode will be permitted, provided the conditions mentioned in these Ordinance are fulfilled. The University shall obtain a “No Objection Certificate” from the candidate for a part-time Ph.D. programme from the appropriate authority of the organization where the candidate is employed, stating that:-

- (i) The candidate is permitted to pursue part – time studies in Ph.D. programme.
- (ii) His /her official duties permit him/her to devote sufficient time for research.
- (iii) If required, he / she will be relieved to take up the research assignment at the enrolled University.

4. Pre-Ph. D. Course Work

- (a) There shall be a compulsory pre-Ph. D. coursework of a minimum of 12 credits with a minimum duration of one semester (six months). The course work shall be supervised by the Dean of Faculty and concerned Head / Convener.
- (b) A candidate must secure at least B grade (55% or more marks) in each of the pre-Ph.D. courses to become eligible for pursuing research work.
- (c) The course work will consist of one Course on Research Methodology with quantitative methods and computer applications, and the remaining Two

Courses shall be as prescribed by the Departmental Research Council (DRC), in line with the applicable norms.

The Course work shall be as detailed below:

Ph.D. Course Work		Assessment Proposed
Course 1	Research Methodology (04 credits)	
	Research Methodology (Including Quantitative methods, computer applications, review of published research in the relevant field, training, fieldwork, Writing of Research Proposal for obtaining Financial assistance from national funding agencies, etc.) Can be taken up online by students through Sawyam portal but the Course should be UGC/AICTE/NPTEL approved.	As per MOOC assessment scheme. Or A regular University course of 04 credits.
Course 2	Research and Publication ethics (01 credit)	
	Can taken up as MOOCs on Swayam portal with 30 modules. The course should be UGC/AICTE/NPTEL approved.	As per MOOC assessment scheme.
Course 3	Seminar (01 credit)	
	Attending at least 01 (one) seminar / conference / workshop (National/ International).	Viva by a panel decided by DRC. The passing percentage shall be 55%.
Course 4	Subject Specific Course (04 credits)	
	To be offered by the department from the list of already approved PG/PG equivalent courses.	Type and number of assessments shall be the discretion of the course instructor. The passing percentage shall be 55%.
Course 5	Dissertation (02 credits)	
		Viva by a panel decided by DRC. The passing percentage shall be 55%.

- (d) The candidate is required to submit a Dissertation (not exceeding 75 typed pages) in the thrust area in which he/she has proposed to pursue research work for a Ph.D. degree.
- (e) The candidate shall have to submit his/her dissertation in the office of the Dean, Research & Development through proper channel thirty (30) days prior to the date of the Pre-Ph.D. Course Work Exam.
- (f) Dissertation Evaluation shall be done by the office of the Dean, Research & Development by appointing examiners in consultation with the respective

Head/Convener of DRC.

- (g) The Department shall ensure the submission of one copy of the Dissertation to the office of the Dean, Research & Development before the expiry of the last date of submission of the Dissertation.
- (h) Upon satisfactory completion of the prescribed Pre-Ph.D. Course Work, the candidate shall undertake research work and produce a draft thesis. In case the candidate does not qualify the Pre-Ph.D. Course Work, he/she may be given one more opportunity to qualify the course in subsequent examination.

5. Provision for Exemption to Course work

Candidates possessing an M. Tech. or an equivalent degree like M. Tech. with coursework and dissertation may be exempted from the coursework, provided.

- (a) the broad area of proposed research at the Ph.D. level matches with the one chosen at the M. Tech or an equivalent degree level, and
- (b) the Departmental Research Council (DRC) will decide on the exemption taking into account the above stipulations. Representations (if any) in this respect with remarks by the Research Supervisor and the Head of the Department / Convener will be taken into account and the decision of the Vice-Chancellor in this regard shall be final.

6. Thrust areas of research

The proposed area of research should be socially relevant/local need-based/nationally important/globally significant/creating value to the society or in cutting edge areas or contributing to new/additional knowledge in the areas of emerging concerns worldwide.

7. Research Supervisor

The eligibility criteria to be a Research Supervisor, Co-supervisor, number of Ph.D. scholars permissible per supervisor etc. will be as notified by the Departmental Research Council (DRC). In general, the criteria would be:

- (a) The DRC shall assign selected candidates to a supervisor taking into account the specialisation of the supervisor's and the candidate's interests. The supervisor shall be a regular teacher of the University.
- (b) A regular Professor of the University, with at least five (05) research publication in peer reviewed or referred journals and any regular Associate/Assistant Professor of the University with at least two (02) research publication in peer reviewed or referred journals, may be recognized as Research Supervisor. They should also have minimum of three (03) years of teaching experience with a Ph.D. degree.

- (c) Provided that the area/disciplines where there is no, or only limited number of peer reviewed or referred journals, the concerned DRC may propose to the Vice- Chancellor for relaxation of the above condition for recognition of person as research supervisor with reasons recorded in writing.
- (d) Only a full time regular teacher of the Amrapali University can act as a Supervisor. However, Co-supervisors can be allowed in inter-disciplinary areas from other departments of Amrapali University or from other related institutions with the approval of the Departmental Research Council on such terms and conditions as may be specified and agreed upon by the University.
- (e) An eligible Professor /Associate Professor /Assistant Professor can guide upto eight (08) / six (06) / Four (04) Ph.D. scholars, respectively at any given time inclusive of joint supervision.
- (f) The number of scholars as specified above shall include the scholars being guided by him from this University and from other Educational Institutes as well.
- (g) A superannuated teacher may not enroll new candidates but may continue supervising those candidates who have completed most of the work but for others he/she may propose a co-supervisor.
- (h) Request for change of a supervisor may be initiated by a student, citing reasons thereof. The request shall be submitted to DRC. The DRC will give the final decision on the request within a period of 02 months. During this period, the Co-supervisor, (if any), will discharge the duties of supervisor.

8. Ph.D. Registration

- (a) A pre-registration presentation of the synopsis shall be conducted by the concerned Departmental Research Council (DRC) of the University wherein the candidate will have to present and defend his/her synopsis and related aspect of his/her research work. The candidate will have to submit five (05) copies of synopsis to the DRC.
- (b) A candidate shall be registered from the date of his/her application and submission of fees even though the DRC approves the synopsis at a later date.
- (c) The synopsis of the candidate shall be placed before DRC in each subject consisting of the Convener of the DRC concerned, two experts nominated by the Vice-Chancellor in consultation with the convener of the Board of Studies. The Dean, Research & Development will be the ex-officio member of DRC. The Committee shall satisfy itself that the subject and the synopsis offered are such which can profitably be perused under the guidance of the proposed supervisor, that the candidate possesses the requisite qualifications and that adequate facilities and equipments for work exist at the institutional level.

- (d) The candidate shall pursue his/her research under the Supervisor and on the subject approved for not less than twenty four months commencing from the date of registration and must put in at least 200 days attendance in the department.
- (e) Supervisor/HoD shall maintain attendance of the research scholar regularly and the attendance so maintained shall be sent to the Head of the Department and Dean/Principal of the concerned faculty at regular intervals.
- (f) A candidate must have at least three years standing as Master's Degree holder of the subject/allied subject at the time of submission of the thesis.
- (g) Admission/Registration of a candidate in research shall be cancelled by the University/competent authority (as the case may be) if any unsatisfactory report regarding the progress of the thesis and conduct of the candidate is given by the supervisors of the candidate.
- (h) The research synopsis of a candidate must be submitted to the University at least one month before the actual date of the meeting of the Department Research Council (DRC) of a subject.
- (i) The maximum time of six months shall be allowed for submitting a revised synopsis. After this period the synopsis submitted shall be treated as withdrawn/cancelled.

9. Duration of the Ph.D. Programme

- (a) Ph.D. programme shall be for a minimum duration of three (03) years including course work for a period of six (06) months and a maximum of six (06) years from the date of admission.
- (b) A maximum of an additional two (02) years can be extended through a process of re-registration provided the period of completion of Ph.D. work should not exceed eight (08) years from the date of admission in the Ph.D. programme.
- (c) The female Ph.D. scholars and persons with disabilities (having more than 40 percent disability) may be allowed an additional relaxation of two (02) years, however, the total period for completion of a Ph.D. work in such cases should not exceed ten (10) years from the date of admission in the Ph.D. programme.
- (d) The female Ph.D. scholars may be provided maternity leave/child care leave for upto 240 days in the entire duration of the Ph.D. programme.
- (e) The meeting of the Departmental Research Council (DRC) for the approval of the synopsis shall invariably be convened within two months from the last date of the submission of synopsis. The last date of submission of synopsis

shall be decided by the DRC.

- (f) The name of a scholar will be removed from the rolls of the University if he/she fails to submit his/her thesis within six (06) years of the date of his/her admission and has not applied for re-registration within a stipulated time.
- (g) The Research synopsis of a candidate must be submitted to the University at least one month before the actual date of the meeting of the Departmental Research Council (DRC) of a subject.
- (h) The maximum time of six (06) months shall be allowed for submitting a revised synopsis. After this period the synopsis submitted shall be treated as withdrawn/cancelled.

10. Review of Progress

- (a) A research scholar will have to appear twice in successive years before the Departmental Research Council in the subject for a review of the work done in synopsis approved. Without two reviews of the research work done no candidate will be allowed to appear for pre-submission seminar.
- (b) A presentation of the progress/work done shall be held in the subject in concerned DRC of the University in which the candidate will have to present progress of the work done in synopsis and related aspect of his/her research.
- (c) If the DRC finds that progress of the work is not satisfactory, a report to that effect shall be submitted to the office of Dean, Research and Development for appropriate action.

11. Pre-Submission Seminar

- (a) When the Departmental Research Council (DRC) is of the opinion that the thesis is in the final stage of completion, the scholar shall give a pre-submission presentation on his/her research findings at least two months prior to the submission.
- (b) This presentation will be given in the presence of the members of the DRC and other faculty members of the Department. The research scholars of the department may be a part of the presentation with the prior approval of the Head of the Department.
- (c) The date and time of the pre-submission seminar will be decided by the Head of the Department in consultation with the Supervisor and a notice to this effect shall be issued by the Head of the Department.
- (d) The unanimous recommendation shall be clearly and specifically recorded on a standard format in triplicate and shall be duly signed on each proforma by all members of the DRC, who are present at the time of presentation. However, this Council shall not recommend any modification in the registered

title of the thesis. The candidate shall be required to suitably incorporate the recommendations, (if any), of the Council in his/her thesis.

- (e) The Head of the Department shall forward two copies of the report to the Controller of Examinations for further necessary action while one copy of the report shall be kept in the Department.

12. Submission of Thesis

- (a) Before the submission of his/her thesis for evaluation and assessment, a Ph.D. candidate shall publish at least one research paper related to his research topic in a UGC care listed or equivalent refereed Journal and ensure one paper presentation in conferences/seminars. The equivalence of the journals with UGC care shall be established by the Departmental Research Council (DRC). The candidate will be required to produce evidence to this effect in the form of a reprint or a letter of acceptance of the paper. The reprint of research paper shall be attached along with the thesis submitted for evaluation.
- (b) The thesis submitted by the Ph.D. scholar shall be evaluated by his/her Research Supervisor and at least two external examiners who are not in the employment of the University, of whom one examiner should be from outside the State.
- (c) The following documents shall be submitted by the candidate to the University at the time of submission of thesis:-
 - (i) Thesis : 03 Copies.
 - (ii) Summary : 04 Copies.
 - (iii) Synopsis : 04 Copies.
 - (iv) CD of the Thesis (PDF File).
 - (v) Pre-submission Certificate.
 - (vi) Fee deposition receipt.
 - (vii) No Dues Certificate from Fee Counter, Department and Library.
 - (viii) Pen drive of the thesis (PDF File) to be deposited at the Office of Controller of Examinations, Amrapali University.
- (d) the candidate shall submit three printed or typed written (typed both side of the paper) copies of his/her thesis. It may incorporate the text of any work which the candidate may have published on the subject.
- (e) The medium of expression for thesis shall be either English or Hindi (written in Devanagari script) except in the case of subjects connected with any of the oriental languages, where the thesis may at the opinion of the candidate, be presented in that language.
- (f) The thesis shall accompanied by a certificate from the Supervisor stating :-
 - (i) that the thesis embodies the work of the candidate himself/herself.
 - (ii) that the contents of the thesis, after verification using appropriate software, are

- free of plagiarism and copyright regulations as per the UGC norms.
- (iii) that the work has not been submitted for the award of any other degree/diploma of the University or to any other University or Institution.
 - (iv) that he/she has put in the required attendance during the entire research period.
- (g) A Ph.D. thesis must contain original contributions by the scholar. A scholar will not be allowed to submit in his/her thesis any work for which a Degree / Diploma has already been conferred on him/her by this or any other University.
 - (h) The supervisor shall satisfy himself before submission of the thesis that it is a piece of research work characterized either by discovery of new facts or by fresh interpretation of facts or theories. In either case, it should provide evidence of the candidate's capacity for critical examination, original thinking and judgment.
 - (i) The thesis shall also be satisfactory in respect of clarity and correctness of language. The examiners may also indicate whether the thesis is suitable for publication in its present form with or without amendments.
 - (j) The thesis shall include a declaration by the candidate countersigned by the Supervisor to the effect that the recommendations, (if any), made by the DRC have suitably been incorporated and a declaration that the thesis incorporates the student's bonafide research and that the findings have not been submitted for award of any degree / diploma in this or any other University or Institute of learning.
 - (k) The thesis shall be countersigned by the Head and Convener, DRC of the concerned Department who will forward the thesis to the Controller of Examinations for further Plagiarism check and for further processing.
 - (l) Examiners for the thesis, shall be appointed only after pre-submission seminars/submission of the thesis. The Convener of Board of Studies and the concerned Supervisor shall be requested to suggest panels consisting of six (06) names of examiners each for consideration of the Vice-Chancellor (10 names, in case of Convener being the Supervisor). At least three names in each panel shall be from outside the State. The thesis shall be sent to two examiners selected for the purpose by the Vice-Chancellor out of a panel suggested by the Convener and Supervisor.
 - (m) In case of a teacher or researcher in a Research Institute or a candidate who is not pursuing research on a full-time basis, submission of the thesis shall be permitted only:
 - (i) if the candidate has stayed in the University for not less than 30 days in each year and participated in such academic programmes as may be decided by the Department for a total period of six months,
 - (ii) if the Departmental Research Council (DRC) has permitted the candidate to submit the thesis on satisfactory completion of all the requirements stated

- elsewhere in this ordinance; and
- (iii) if all the conditions stipulated in the other clauses of the regulations and the relevant clauses of UGC norms are fulfilled.

13. Plagiarism Check for Thesis

- (a) The Controller of Examinations (COE) of the University will verify that the submitted soft copy of the thesis is checked through authentic plagiarism check software and will follow the UGC's new anti-plagiarism policy that allows up to 10% content similarity.
- (b) The Controller of Examination (COE) will recommend further action as under based on the plagiarism check report to the office of the Registrar.
 - (i) With content similarity above 10%, the researchers will have to withdraw their manuscript and will be barred from submission for a minimum period of six (06) months.
 - (ii) If the plagiarized content is found with 40% to 60% content duplicity, then the researchers will be barred from submitting the thesis for a minimum period of one year, and the Supervisor(s) will be restricted from any research supervision within the University for a period of two years.
 - (iii) When encountered with more than 60% of content similarity with the original sources, the concerned researcher's registration shall be cancelled.
- (c) The Registrar based on the report of Controller of Examination (COE) will take action and inform the action to Researcher/Candidate and the Supervisor and forward a copy of the action taken for reporting to the Academic Council, Vice-Chancellor, DRC and COE.

14. Examiners' Reports

- (a) The Ph.D. examiner shall be required to submit his/her report normally in two months' time, however, some more time may be permitted with the permission of the Vice-Chancellor. Thereafter, a new examiner may be appointed.
- (b) Each examiner shall, after carefully examining the thesis, submit a report in the prescribed Performa to the University containing a clear recommendation outlining his/her observations/comments, on the thesis regarding:
 - (i) Recommendation for the award of Ph.D. Degree.
 - (ii) Any modification/revision; or
 - (iii) Rejection.
- (c) On receipt of satisfactory evaluation reports, the candidate shall have to undergo an open viva-voce examination. The viva-voce examination shall be conducted by the Research Supervisor and at least one of the External Examiners and shall be open to be attended by members of Departmental Research Council, all faculty members of the Department and other research scholars. The Head/Convener of Departmental Research Council shall be the Chairman of the viva-voce examination of a candidate.

- (d) Not satisfied with the viva-voce examination, the candidate shall be asked to re-appear (after paying the prescribed fee) at a second viva-voce examination within one year but not earlier than six months. If the candidate fails to defend viva-voce examination the second time, his/her thesis shall be finally rejected.
- (e) If the examiners recommend that the candidate be asked to improve his/her thesis, the Vice-chancellor in consultation with the Board of Management may permit the candidate to re-submit his/her thesis, not earlier than six months and not later than one year. The period being counted from the date of communication of the decision of the Vice-Chancellor the permission.
- (f) In case a candidate is allowed to re-submit his/her thesis, he/she shall have to pay a fresh fees prescribed for the purpose at the time of re-submission of the thesis but the time of re-submission for him/her to produce any certificate of further attendance.
- (g) If both the examiners disapprove the thesis, it shall be rejected. In the event of divergence of opinion between the two examiners of the thesis, the thesis shall be sent for evaluation to the third examiner from the panel appointed under the ordinance and his/her opinion shall be final. The re-submitted thesis shall be examined by the old set of examiners.
- (h) The University shall ensure that the viva voce examination shall be held within a period of six months from the receipt of the examiner's reports.
- (i) The University shall have the right to withdraw or cancel the already awarded Degree, if it is found at any date that there is not/originality or geniuses in the thesis concerned or if there is any other severe matter according to which it is established that degree should not have been awarded to the candidate. The Supervisor of such a candidate shall also be held responsible for such a work.
- (j) The Head of the Department will be required to keep a records of the proceedings of the vice-voce examination along with a copy of the Thesis in soft and hard form and submit to the Controller Examinations for further necessary action, post successful completion of the viva-voce examination.

15. Declaration of Result and Award of Degree

- (a) Based on the reports of the examiners and the viva-voce the Controller of Examinations (COE) shall recommend to the Academic Council for the declaration of result and the award of the degree or otherwise.
- (b) Once the Academic Council accepts the recommendation, the recommendation of the Academic Council will be forwarded to the Controller of Examinations for issue of Degree as per Examination Regulations of the University.

- (c) However, as the interim measure, the Vice-Chancellor may grant permission for the declaration of the result and the award of the degree through a Provisional Certificate.
- (d) After the announcement of the award of the Ph.D. degree, the Controller of Examinations (COE) will submit an electronic copy of the Ph.D. thesis to the UGC within a period of thirty days for uploading the thesis to INFLIBNET/SHODH GANGA, accessible to all Universities / Institutes.
- (e) The date of receipt of the report of the viva-voce examination committee by the Controller of Examinations shall be deemed to be the date of award of the degree.

16. Copyright

Amrapali University reserves the copyright in respect of all thesis based on which the University has awarded Ph.D. degrees.

17. Removal of Difficulties

Not with standing anything contained in this Ordinance, the Vice-Chancellor may take such measures as may be necessary for removal of doubts/difficulties and to resolve any other extraordinary issue.

DOCTOR OF LETTERS/LITERATURE (D.Litt.)/DOCTOR OF SCIENCE (D.Sc.)

1. **Eligibility Criteria and Other Provisions for Admission to D.Litt. / D.Sc. Programme.**
 - (a) A Candidate for the D.Litt. / D.Sc. must be either a Doctor of Philosophy of this University with at least two years' standing, or a Doctor of Philosophy of at least two years standing of another University recognized by the Amrapali University.
 - (b) The candidate shall be required to join the University for at least 200 days, as provided in the Ph.D. Ordinances. During this period, he/she will have to engage himself/herself in academic work (including delivering lectures etc. to students). The candidate shall remain under the administrative and academic control of the Head of the Department and Dean/Principal concerned.
 - (c) A candidate for the D.Litt. or D.Sc. Degree must apply to the University on a prescribed form along with 10 copies of the synopsis and copies of the documents concerning:-
 - (i) his/her qualifications and experience,
 - (ii) the subject on which he/she proposes to work, and
 - (iii) the synopsis stating purpose of study indicating the original contribution to knowledge which the thesis proposes to make and which will bring to light facts not yet known or used by scholars, or a fresh original interpretation of already known facts,
 - (iv) the application shall be supported by two senior members* of the concerned department of the University or two subject professors of any University, who shall testify that the candidate is a proper person to supplicate for the degree.
(*). Senior means the concerned teacher must have at least 10 years of postgraduate teaching experience.
 - (v) the application shall be accompanied by the prescribed fee.
2. The application shall be placed before the Research Degree Council of the subject concerned and the candidate shall attend the pre-registration interview. If the application is approved by the Academic Council, the candidate may submit his/her thesis at any time not earlier than three years and not later than five (05) years (including extended period) from the date on which he/she was permitted to work for the degree (time will be counted from the date of registration). In case the candidate does not submit his/her D.Litt. or D.Sc. thesis within 04 (four) calendar years from the date of registration, the permission granted to him/her shall lapse, unless the time is extended by the Vice-Chancellor, (which will not exceed one year) and thereafter the name of the candidate shall be removed from the registered list.
3. A Pre-submission seminar shall be held in the University Department of the subject with Convener, Departmental Research Council as Chairperson. The candidate who is ready to submit his/her D.Litt. / D.Sc. thesis shall present and defend his/her thesis work. This pre-submission seminar shall be open to all the faculty members and research scholars of the concerned department and the faculty. The thesis to be

submitted must be approved, after the seminar, by at least a 2/3rd majority of the teaching staff of the concerned department. This approval must include the approval of the HOD/Convener of DRC concerned and thesis must be forwarded by the Convener of the DRC to the Controller of Examinations for further processing.

4. At least three research publications out of the work being carried for the degree, in refereed journals of the subject, which in the opinion of the HOD/Convener are standard journals, are necessary before the pre-submission seminar (stated above) is held.
5. The Convener of the Board of Studies / DRC shall be requested to suggest a panel of 10 (ten) names for the consideration of the Vice-Chancellor. Three Examiners for thesis (two from out of State) shall be appointed from a panel of experts given by the Convener.
6. A candidate shall not be allowed to submit as a part of his/her thesis any paper or papers on the basis of which a degree has already been conferred on him/her by Amrapali University or any other University, but he/she shall not be precluded, from incorporating a work which has already been submitted by him/her for a degree covering a wider field, provided that he/she shall indicate the extent of the work so incorporated. The thesis submitted must be satisfactory as regards its literary form and, if not already published, must be in a form suitable for publication. The medium of expression for every thesis shall be English or Hindi (written in Devanagari script) except in the case of subject concerned with any of the languages where the thesis may, at the option of the candidate, be presented in that language.
7. After the thesis is completed, the candidate shall submit four printed or typewritten copies of his/her thesis, together with the fee. The candidate shall indicate how far his/her thesis embodies the result of his/her research and in what respects his/her investigation appears to him/her to advance the bounds of knowledge. He/she shall also state what authorities/references or other sources he/she has utilized in preparing his/her thesis and shall submit in support of his/her candidature, any paper or papers which he/she may have published independently or jointly. The thesis must be a piece of original research work characterized either by the discovery of facts or by a fresh approach towards interpretation of facts or theories. In either case, it should evince the candidate's capacity for critical examination and sound judgment.
8. On its receipt, the thesis shall be sent to three examiners selected by the Vice-Chancellor out of the panel of 10 examiners suggested by the Convener of DRC. If two of the three examiners do not approve the thesis, it shall be rejected, but if only two examiners approve it, the thesis shall be sent for evaluation to fourth examiner from the panel and his/her opinion shall be final.
9. In case all the three original examiners approve the thesis or, in the event of divergence of opinion between the original three, and the fourth examiner approves it, the candidate shall be called upon to appear for a *viva-voce* test before members of the DRC along with two externals examiners Convener being the Chairman. If the DRC is satisfied with the performance of the candidate, the case shall be placed

before the Academic Council. If the Council, after considering the report of the examiners, along with the report of viva-voce examination, consider the candidate worthy of the D.Litt. or D.Sc. Degree (as the case may be), it shall approve the degree.

10. In case the recommendation of viva/voce examiners differs from those of the thesis examiners, or there is a difference of opinion between the viva/voce examiners, the candidate may be asked to re-appear after paying a fee at a second viva/voce examination within one year but not earlier than six months from the date of the first viva/voce. If the candidate fails to satisfy the viva/voce examiners the second time, his/her thesis shall be finally rejected.
11. If the examiners recommend that the candidate be asked to improve his/her thesis, the Academic Council may permit the candidate to re-submit his/her thesis not earlier than six months and not later one year, after the date of the resolution of the Academic Council granting the permission. In case a candidate is allowed to re-submit his/her thesis, he/she shall have to pay a prescribed fee at the time of submitting his/her thesis.
12. The Head of the Department / Convener of RDC shall be the Chairperson of the viva/voce exam of a candidate. Both the viva/voce exam and the pre-submission seminar of a candidate both shall be held under control of the Head of the Department, but the examiners' board of the viva/voce exam shall remain as prescribed in these ordinances.
13. Regarding attendance, cancellation of admission or registration, cancellation of the already awarded degree and research centre, the relevant clauses of the Ph.D. Ordinances (with the supervisor replaced by the / Convener HOD) shall apply here too.
14. The following provisions should be followed by the candidate while submitting the thesis to the University:
 - (a) The contents of the thesis submitted in CD to the library shall be as single PDF file and shall not be different from what has been presented in the thesis.
 - (b) The thesis may be written in Hindi or English unless it relates to any other language (typed/printed **on both sides of paper**).
 - (c) Additionally, the file should contain the following information:
 - (i) Abstract / summary of the thesis (200-300 words),
 - (ii) Key words (up to 9),
 - (iii) Author's name and address,
 - (iv) Supervisor's name,
 - (v) Project's name under which work was carried out, if any
 - (vi) Funding / Fellowship granting agency's name,
 - (vii) Pen drive of the thesis (PDF File) deposited at the office of Controller of Examinations, Amrapali University, Haldwani.

